

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lu et al. Art Unit : 1648
Serial No. : 10/728,195 Examiner : Bo Peng
Filed : December 3, 2003 Conf. No. : 7308
Title : POLYVALENT, PRIMARY HIV-1 GLYCOPROTEIN DNA VACCINES AND
VACCINATION METHODS

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Copies of the references are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please apply the amount of \$180 in payment of the late submission fee of §1.17(p) and any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 17738-003001.

Respectfully submitted,

Date: April 27, 2007



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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17738-003001	Application No. 10/728,195
Information Disclosure Statement by Applicant <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		Applicant Lu et al.		
		Filing Date December 3, 2003	Group Art Unit 1648	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AD	03/039470 A	05-15-2003	WO/P			
	AE						
	AF						

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
	AG	Fonsgaard, A, "HIV-1 DNA Vaccines" <i>Immunology Letters</i> , Vol. 65, no. 1/2, pp. 127-131 (1999)					
	AH	Pal, et al., "Definitive toxicology and biodistribution study of a polyvalent DNA prime/protein boost human immunodeficiency virus type 1 (HIV-1) vaccine in rabbits" <i>Vaccine</i> , Vol. 24, no. 8, pp. 1225-1234 (2006)					
	AI	Pal Ranajit, et al., "Polyvalent DNA prime and envelope protein boost HIV-1 vaccine elicits humoral and cellular responses and controls plasma viremia in rhesus macaques following rectal challenge with an R5 SMIV isolate" <i>Journal of Medical Primatology</i> , Vol. 34, no. 5-6, pp. 226-236 (2005)					
	AJ	Weidle, et al., "HIV/AIDS treatment and HIV vaccines for Africa" <i>Lancet</i> , Vol. 359, no. 9328, pp. 2261-2267 (2002)					

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	